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PREFACE

Welcome to the community of scholars at the Columbia University School of Nursing Doctor of Philosophy (PhD) Program. This handbook is intended to aid doctoral students in planning coursework and proceeding through all phases of the curriculum.

The PhD degree in Nursing is conferred by the Graduate School of Arts and Sciences (GSAS) upon successful completion of both a master’s of philosophy degree (MPhil) and a dissertation defense. The School of Nursing defines and administers the programmatic policies of the MPhil degree, including course requirements and qualifying examination procedures. Upon conferral of the MPhil degree, the eligible student is admitted to PhD candidacy in the Graduate School of Arts and Sciences according to the rules and regulations described in the GSAS Dissertation Office web pages. Details of each phase of the doctoral training experience are provided in the following sections of this handbook, with relevant forms included as appendices. The course plans and requirements are subject to change.

The PhD Program Offices are located in the 6th floor of the School of Nursing, 168th Street & Audubon Ave., New York, NY 10032 (fax: 212-305-3659).

<table>
<thead>
<tr>
<th>Arlene Smaldone, PhD, CPNP, CDE</th>
<th>Judith Kelson, MSW</th>
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<tbody>
<tr>
<td>PhD Program Director</td>
<td>PhD Program Administrator</td>
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<tr>
<td><a href="mailto:ams130@columbia.edu">ams130@columbia.edu</a></td>
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<tr>
<td>(212) 342-3048</td>
<td>(212) 342-4172</td>
</tr>
<tr>
<td>Room 607</td>
<td>Workspace 6Q</td>
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The Dissertation Office is located in 107 Low Memorial Library, 535 West 116th Street, and is open Monday through Friday from 9:00 AM – 5:00 PM. (FAX: 212-854-2863). 

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<tr>
<th>Julissa Peña</th>
<th>Esmeralda McCormick</th>
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<tr>
<td>Academic Affairs Manager</td>
<td>Dissertation Secretary</td>
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<tr>
<td><a href="mailto:jp3384@columbia.edu">jp3384@columbia.edu</a></td>
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<tr>
<td>(212) 854-2866</td>
<td>(212) 854-2854</td>
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NOTE: This handbook is congruent with the School of Nursing Student Handbook, the GSAS website, and other Columbia University resources, and does not supplant any official policies of the School or the University. Information in this handbook was up-to-date at the time of publication. Note that changes may occur after distribution. Your advisor, the PhD Program Director, Program Administrator, and the Office of Student Services are all available to answer questions not covered in these documents. Please refer to the School of Nursing Student Handbook for general policies of the School of Nursing such as registration procedure and academic and professional policies and standards.

Please refer to the Student Health Service website and the School of Nursing Student Handbook for details about medical services, mental health services, and the student health insurance plan.
DESCRIPTION, PURPOSE AND OBJECTIVE

The PhD program is a full-time, research-intensive curriculum that prepares nurse scholars to conduct research both independently and as part of interdisciplinary teams. The program provides students with research skills, knowledge of theoretical frameworks for the critical understanding of outcomes and policy questions, and mentored opportunities that move the student toward independent research. PhD students enroll three semesters per year (fall, spring summer).

Graduates of the PhD program have knowledge of health policy and the research skills necessary to make a major impact on national and global health care, to further clinical nursing knowledge, and to translate this knowledge into practice. Specifically, graduates are prepared to:

1. Design, conduct, and analyze data, and report the findings of research studies that increase knowledge about the outcomes of nursing and other clinical practice.
2. Provide leadership in improving the health care delivery system at local, national, and international levels.
3. Collaborate with other professionals to evaluate and develop policies for the organization and delivery of health services.
4. Translate evidence accumulated through research into practice and policy at multiple levels.

ADMISSION CRITERIA

All applicants must possess a New York state nursing license or be eligible to receive a license. Applicants entering the program will be required to take a minimum of 47-48 credits for completion of the Nursing PhD Degree in addition to any other credits that are needed based on their individual educational needs.

PREREQUISITES AND EXPECTED PROFICIENCIES AT THE TIME OF ENROLLMENT

It is expected that at the time of enrollment students will have successfully completed approved coursework in nursing theory, research, and health policy. Students not meeting these requirements may complete these credits at Columbia University or another university before beginning, or connected with, doctoral study; however, courses taken to meet prerequisite requirements do not count toward PhD degree credit requirements. Any prerequisite course requirements taken elsewhere in the University are the responsibility of the student, including assuring that all records from such courses reach the School of Nursing Office of Student Services in a timely fashion.

TRANSFER CREDITS

A maximum of 9 credits for applicable courses taken prior to enrollment may be transferred. Coursework essential to the student’s area of study and not offered within Columbia University
SURVIVAL SKILLS WORKSHOP

It is expected that students who are admitted to the program will be computer literate (able to use at least one word processing program), have fluency in using the electronic resources of the Columbia University library including literature searches, and fluency in use of one electronic citation manager such as Endnote. In addition, all incoming students will be expected to attend the “Survival Skills Workshop for Doctoral Students” offered before the start of classes as part of the new student orientation.

ADVISEMENT

Upon enrollment each student is assigned a faculty advisor who provides academic and professional guidance throughout their course of study. The advisor assists students to identify strengths and weaknesses and to establish short and long range goals. Assignment of a faculty advisor is based on the student's professional goals and the compatibility of clinical and research interests. A major role of the advisor is to ensure that the student enrolls in courses consistent with good scholarship, special interests and career goals including the topic and method of dissertation research; evaluating transfer credits; and general program and departmental degree requirements.

The advisor is expected to meet with the student on a regular basis although the frequency of meetings may vary by semester. As the student progresses through the program and his or her research interests are more fully understood, change in the advisor may be initiated by either the student or the advisor. Students are encouraged to become familiar with the expertise of other members of the faculty and to consult them as appropriate.

PhD students are expected to take the initiative to meet with their advisors/dissertation sponsors at the beginning of each semester to discuss academic goals for the semester, opportunities to participate in ongoing research, and determine a schedule for meeting frequency. To facilitate productive meetings, prior to each meeting the student should create an agenda to assure that issues of importance are addressed. During meetings mutually determined goals, expectations and deadlines should be set.

In the event a student wishes to change their advisor/sponsor, the student should initiate the request with the current advisor. If this is not possible, the student should request the change with the PhD Program Director or the Associate Dean of Research.

When ready for dissertation work, the student selects an approved sponsor for dissertation in consultation with the advisor. The list of approved Dissertation sponsors can be found on http://gsas.columbia.edu/dissertation-sponsors.

INDIVIDUAL DEVELOPMENT PLAN (IDP) / TRAINEE SKILLS INVENTORY FOR PHD STUDENTS

PhD students are strongly encouraged to complete an Individual Development Plan (IDP) for PhD Students / Trainee Skills Inventory. The purpose of the inventory is to provide predoctoral students with a roadmap to the skills that they may wish to accomplish throughout the program. The template for the IDP will be provided to incoming students at the beginning of fall semester.

REVISED AUGUST 2017
POLICY STATEMENT REGARDING PHD FINANCIAL AID

It is expected that PhD students will update their inventory every semester in conjunction with a progress meeting with their primary advisor.

In addition, the Columbia University Office of Postdoctoral Affairs offers additional IDP training.

POLICY STATEMENT REGARDING PHD FINANCIAL AID

Columbia University School of Nursing offers a generous financial aid package for PhD students during their first two years of study. This financial support covers required credits for the first two years of study (5 semesters) for students entering fall 2014 and subsequent years. This includes the majority of the credit load required for the degree and an annual living expense stipend. Half of the annual stipend is disbursed in September and the remaining half is disbursed in January. To be eligible for this financial aid package, students must be a U.S. citizen or have permanent resident status. After the first two years (5 semesters), PhD students are expected to cover the cost for the remaining portion of coursework and provide for their own living expenses during this time. Completion of the PhD program (coursework and dissertation research) takes an average of 4 years.

This financial package is intended for PhD students who are able to make a full-time commitment to PhD study and who are able to work with an advisor in research-related activities of 10 hours per week. As per PhD departmental policy, a recipient of the financial aid package may not engage in outside work activities that exceed 10 hours/week. This is consistent with current NIH funding guidelines.

The PhD program at Columbia University School of Nursing welcomes international student applicants. However, the school is not able to provide tuition or stipend support. Therefore, international PhD students must have financial resources to cover tuition and living expenses. For more details, visit the International Students and Scholars Office at http://isso.columbia.edu/AVC/supporting-documentation.

During their first two years (5 semesters) of study, PhD students who are federal-aid eligible may apply to receive one $2,000 childcare subsidy for each child who is under the age of five and not attending kindergarten. If you have questions about the subsidy, please contact the CUSON Financial Aid Office. Students who give birth, adopt, or become legal guardians or foster parents, as well as students whose spouse or partner gives birth, can have responsibilities associated with their doctoral program suspended for twelve calendar weeks without loss of funding. In addition, students may elect to take a semester of unpaid accommodation after their paid leave concludes. For more information, please contact the PhD Program Administrator.

SATISFACTORY ACADEMIC PROGRESS

PhD students must complete a minimum of 47-48 credits. The maximum timeframe allowed to complete the PhD is seven years (21 terms). Students are expected to maintain a cumulative B average (3.0 GPA). Failure to do so will result in the student being placed on probation. Doctoral students with a C in any course will be reviewed by the PhD program director and the School’s Committee on Admissions. Student academic progress is evaluated each term. Being placed on probation may have consequences regarding support during the first two years of study as well as the ability to secure other sources of financial aid and federal loans. For more information, please refer to the “Satisfactory Academic Progress,” “Grading and Required Standards” and
“Academic Review and Probation” sections of the Columbia University School of Nursing Student Handbook.

All PhD courses use letter grades with the exception of N9901 Research Residency. Columbia Nursing Grading and Required Standards can be found in the CUSON Student Handbook.

Students are required to maintain full-time enrollment each semester (fall/spring/summer) from the time of initial enrollment until successful defense of the dissertation proposal. Full-time enrollment is defined as 9 credits or more during MPhil coursework. Full-time student status can be maintained by registering for the zero-credit course N9901 Research Residency. Please contact the Program Administrator if you have any questions about maintaining full-time status.

Full-time status during dissertation phase (after successfully passing the Qualifying Exam) requires registering for a minimum of 4 credits of N9840 Dissertation Research and the zero-credit N9901 Research Residency each semester (fall/spring/summer).

PROCEDURE FOR STUDENT COMPLAINT AGAINST FACULTY

In fulfilling their instructional responsibilities, faculty are expected to treat their students with civility and respect. In conducting their classes, faculty should make every effort to be accurate and objective, and show respect for the rights of others to hold opinions differing from their own. Faculty are expected to judge students solely on the basis of academic performance and to submit their grades to the Registrar in a timely manner. (Excerpted from Columbia University Faculty Handbook, 2008) Students who feel that members of the School of Nursing faculty have not met those obligations may take advantage of informal mechanisms the School has created to provide them with help. They also may request a formal grievance hearing by following the procedures described in this statement.

Detailed information about Informal Conflict Resolution and Formal Grievance Procedures can be found in the School of Nursing Handbook.

STUDENT ACADEMIC GRIEVANCE PROCEDURE

Students have the right to present a grievance on an academic question if the student believes that they have been unfairly treated in the grading of a didactic or clinical course.

Detailed information about the Student Academic Grievance Procedure can be found in the School of Nursing Handbook. Note that a student who believes they have a grievable academic issue must meet with the involved faculty member within ten (10) working days after the student is informed of the grade in questions.

PHD CURRICULUM

The PhD curriculum builds upon the foundation of nursing science and provides the basis for graduates to expand the horizons of nursing by bringing together clinical practice, health policy, research, and leadership. The development and enhancement of research, leadership and policy analysis skills are the primary foci of the program and are based on two tenets:

1) Well prepared nurse scientists can bring a unique and important perspective to the
improvement of health, health care and health care delivery through research.

2) Nursing practice takes place within and is influenced by a complex array of policies and systems of care delivery.

An important focus is the development of skills to employ knowledge gleaned from nursing research to effect change at the local, national, and international level.

PROGRAM OVERVIEW

PhD courses are offered in four major groups:

1. Theoretical foundations of nursing science;
2. Analytical foundations of nursing science;
3. Elective and application courses through which the student develops additional expertise in their area of interest;
4. Human subjects training.

- Upon completion of core coursework, the student must successfully complete a qualifying examination with written and oral components.
- Upon successful completion of required course work and qualifying examination, the student is awarded a master’s of philosophy (MPhil) degree. The PhD Program Director’s Office in the School of Nursing applies on behalf of the student to GSAS for the MPhil degree.
- Dissertation work requires registering for a minimum of 4 credits of N9840 (Dissertation Research) each semester (fall/spring/summer), generally for 4 semesters (16 credits). Nursing PhD students must complete a minimum of 47-48 credits (37 credits pre-dissertation and dissertation credits each semester until completion).
- The program culminates with a dissertation research project in which the student develops, conducts, writes and defends an original research study.

SCHOLARSHIP EXPECTATIONS OF STUDENTS

In addition to the specific requirements of courses, qualifying examination and dissertation, the faculty of the PhD program have the following expectations of each student in the program:

1. **Publication**: At least one manuscript submitted for publication in an appropriate peer-reviewed journal.

2. **Grant**: At least one grant application submitted to an appropriate funding agency or organization.

3. **Presentation**: At least one paper or project submitted for presentation as a poster or oral presentation at an appropriate professional meeting.

4. **Networking**: Attending at least one regional or national research meeting (such as, but not limited to, those sponsored by the ENRS, AHSR, AHRQ, NCHS, NINR, and CDC). Limited travel reimbursement may be available. Please see the “Funding for Student Travel to Present Scholarly Work” section in this document for more information.

If you have any questions about these expectations, please consult with your advisor. Periodic meetings for PhD students are scheduled as needed by the Director for discussion of questions and issues related to the doctoral program.
REQUIRED COURSEWORK

COURSEWORK IN THE THEORETICAL FOUNDATION OF NURSING SCIENCE

The theoretical foundation component of the PhD program provides the scholarly framework for examining nursing care and delivery systems, and how systems of care—which include the nursing care of individuals, family, and community—can continue to improve the outcomes of health care and address the emerging health problems of the nation, especially those of vulnerable populations. This component broadly addresses the social, cultural, and political evolution of health care, the evolution of nursing as a part of the care delivery system, key public policies that have affected both nursing and the health care system at large, and the development and articulation of nursing knowledge.

Two courses (6 credits) comprise this component of the program:

1. N9356: Intellectual and Conceptual Foundations of Nursing Science (3 credits)
   This foundational course examines the philosophy of nursing knowledge including foundations of nursing theory, concept development and its application to research.

2. N9357: Measurement in Nursing Research (3 credits)
   This foundational course examines the links between theory and the psychosocial and biophysical measures used in nursing research. Students employ the principles of classical test theory and item response theory to evaluate the reliability and validity of measurement.

COURSEWORK IN THE ANALYTIC FOUNDATION OF NURSING SCIENCE

The PhD program provides the analytical skills necessary to design and conduct meaningful research. The core research courses provide the foundation which is individually refined through elective courses that support the proposed dissertation research.

NINE COURSES (24 CREDITS) COMPRISIE THIS COMPONENT OF THE PROGRAM:

1. P6103: Introduction to Biostatistics (3 credits; cross-registered in the School of Public Health)
   This course is a basic introductory course in statistical tests and methods.

2. N9352: Qualitative Research Design and Methods (3 credits)
   This course provides an in-depth examination of qualitative study designs and methods through a combination of theoretical discussion and hands-on practical experience. Topics include paradigm distinctions, theoretical perspectives, designs and methods, critique of research reports, and ethical issues in qualitative research.

3. N9910: Translation and Synthesis of Evidence for Optimal Outcomes (3 credits)
   This course is designed to provide the tools for the doctorally prepared nurse to evaluate, translate and integrate published research results into clinical practice. Students will learn how to conceptualize clinical practice problems and transform them into answerable clinical research questions, how to search for the best clinical evidence, and how to assess clinical evidence using basic epidemiological, biostatistical and scientific principles. The course will culminate in a systematic review or meta-analysis of a body of research relevant to advanced practice nursing.
4. **N9355: Quantitative Research and Design (3 credits)**
   This course provides a foundation for quantitative research methods and design. Research process topics examined include: appraisal of the quality of existing evidence; identification of gaps in the literature; formulation of researchable questions and testable hypotheses; types of research variables; sampling designs and power analyses; and the uses, strengths and weaknesses of various experimental and quasi-experimental research designs.

5. **P8100: Applied Regression (3 credits)**
   This course will provide an introduction to the basics of regression analysis. The class proceeds systematically from the examination of the distributional qualities of the measures of interest, to assessing the appropriateness of the assumption of linearity, to issues related to variable inclusion, model fit, interpretation, and regression diagnostics.

   Building upon the foundations provided in the quantitative and qualitative research method courses, students examine advanced methods and frameworks frequently used in studying health policy, health services research problems and comparative effectiveness research. In addition to a critical review of the methods, the course examines the relationship among science, policy and healthcare delivery, and identifies critical questions shaping future policy research agenda.

7. **N9550: Research Synthesis Through Visualization of Health Data (3 credits)**
   This course is intended to provide a hands-on introduction to delivering data visualizations to serve as a critical lens through which individual and population level health can be examined. The course will combine concepts and theory in data visualization and exploration and practice to enable the student to gain the necessary knowledge to use graphics and statistics to explore the data, find and construct a narrative, and share findings in ways colleagues and decision-makers can readily understand and act upon.

8. **N9260: Building Interdisciplinary Research Models (2 credits)**
   This course will introduce students to competencies in interdisciplinary research through a combination of readings and lectures in each necessary aspect, chosen from fields essential to successful interdisciplinary research. The course is co-taught by instructors from Nursing and other CU medical campus schools.

9. **N9505: Research Practicum (2 credits)**
   Two credits of this individualized practicum in research is required in the program. The student works with a faculty member or other scientist who is conducting a research project. The student and faculty member negotiate and agree upon the objectives, specific experiences and requirements for the practicum. The specific nature of the experience depends on the nature and stage of the research, and might include a search and review of relevant literature, data collection, data analysis and/or grant preparation. These are summarized in the Contract for Practicum Experience (See Appendix B) which is signed by both student and faculty member prior to beginning the experience.
COURSEWORK IN HUMAN SUBJECTS TRAINING

G4010: Responsible Conduct of Research (1 credit)
This course explores a variety of ethical and policy issues that arise during the conduct of basic, translational, and clinical biomedical scientific research. Topics addressed include: research misconduct; “everyday” ethical issues faced by biomedical scientists; the use of laboratory animals in scientific research; human research participants and scientific research; authorship practices in scientific publications; conflicts of interest arising from scientists acting as policy consultants and experts; data sharing and data secrecy; mentoring; research with stem cells, and scientists as citizens. The course website can be found at http://www.cumc.columbia.edu/dept/gsas/ac_programs/rcr-crse.htm

RASCAL Training is required for all students. The following two (non-credit) courses can be taken online at the following website: https://www.rascal.columbia.edu/

- TC0087 Human Subjects Protection Training
- TC0019 Health Insurance Portability and Accountability Act (HIPAA)

Additionally, N9355 Quantitative Research Design and Methods, N9352 Qualitative Research Design and Methods, and N9353 Advanced Methods in Health Services Research and Policy Analysis all include an objective about Human Subjects.

DISSERTATION PHASE COURSES

N9901: Research Residency (0 credit)
Students must register for Research Residency in order to maintain full time status when credits drop below 9. This course is intended for PhD students who are engaged in relevant scholarly activities that are not associated with the required course sequence. Such activities must accrue more than 20 hours/week.

N9840: Dissertation Research (4 credits/semester)
All students must register for at least 4 dissertation credits each semester (fall/spring/summer) until the dissertation is complete (typically 4 semesters).
Elective Courses

This component includes a minimum of 8 credits of electives/cognates enabling the student to develop expertise in areas of scholarship that support the student’s selected focus area, dissertation topic, or personal scholarly interests. Electives should be tailored to the student’s specific research needs. The advisor helps the student identify relevant courses, generally outside of the School of Nursing. It may be necessary for a student to take additional credits to develop depth in content or methodology required to complete the planned dissertation. (Guided Study in Nursing Science, N9502 should be taken for this purpose.) The need for additional courses is determined by the advisor and/or dissertation committee. Some examples of electives taken by PhD students 2011-2016 can be found on the CourseWorks site (PhD Course Schedule-Current & Prior and Electives).

Inter-University Doctoral Consortium (IUDC)

As you decide what elective courses to take, you may want to consider taking a course outside of Columbia University. Columbia University Graduate School of Arts & Sciences is a member of the Inter-University Doctoral Consortium (IUDC), which provides for cross-registration among member institutions. Participating schools are:

- CUNY Graduate Center
- Fordham University
- The New School for Social Research
- New York University (including the Institute of Fine Arts)
- Princeton University
- Rutgers University
- Stony Brook University
- Teachers College (TC) is also a member of the IUDC, but Columbia students interested in TC classes should cross-register within Columbia rather than use the IUDC.

PhD students wishing to use this mechanism may register at Columbia for the course(s) they plan to take at the visiting institution by submitting the IUDC Registration Form. The host institution may also require students to register there. Students should familiarize themselves with the academic regulations of the host university, including its location, grading system, applicable deadlines, and calendar.

Note that only Arts & Sciences courses can be taken through the Consortium. Tuition is paid to the Graduate School. Nursing PhD students must take classes for a letter grade. Once a student has completed the required electives, any additional elective classes may be taken Pass/Fail. IUDC classes will appear on the Columbia transcript.

Information about the IUDC can be found at http://gsas.columbia.edu/iudc.

Cross Registration Process

In order for PhD students to cross register for classes offered at Mailman School of Public Health it is necessary to wait until the Drop/Add period for the semester (first day of classes) and complete the required forms. Please follow this procedure:
1. Download a “School of Nursing Add/Drop form” at this page: http://nursing.columbia.edu/sites/default/files/add_drop_form.pdf

2. Complete the “Add/Drop form” and return to the PhD Program Administrator. The Administrator will obtain approval from the PhD Program Director.

3. Print out a “Cross Registration Application” from the Mailman School of Public Health website. Take your completed application to the Mailman School of Public Health Department offering the course (i.e., Health Policy and Management, Biostatistics), and obtain the signature of either the Department Course Coordinator or the instructor. (Locations of each department coordinator is provided on the second page of the Cross Registration Form.)

4. Take the Cross Registration form signed by the course coordinator or instructor to the Mailman Student Services office (Mailman Building, 1st floor turn right as you pass the security guards) and obtain the Dean’s signature.

5. Take the form signed by the Mailman Dean to the School of Nursing Office of Student Services for signature.

6. Finally, take both signed forms (“Cross Registration Application” and “Add/Drop Form” to the Registrar’s office on the first floor of the Black Building.

A similar process is required to cross register for courses at Teachers College. Please contact the PhD Administrator for information.

RESEARCH AND TEACHING EXPERIENCES

During the first two years of PhD study, students are expected to participate in experiential learning opportunities in teaching (1 semester) and research (all other semesters). Research assistant apprenticeships are part of the learning experience where students work with a faculty member. In some cases, this is formalized as a graduate research assistant (GRA) externally funded grant position. Student research experiences may occur with the student’s advisor or may be negotiated with other faculty members. These experiences may include activities such as subject recruitment, data collection, data entry, data analysis, literature review and preparing protocol submission and/or modification.

Participation as a research assistant often leads to dissemination opportunities through participation in manuscript development or oral or poster presentations at scientific conferences. Other benefits include expanding your research “toolbox,” networking, and receiving ongoing feedback on the student’s research. Students should proactively discuss research opportunities with their advisors and set clear goals and expectations. Serving as a research assistant is separate and distinct from the Research Practicum, which is a credit-bearing, student led project. Research assistant projects vary in scope and time commitment.

TEACHING ASSISTANTSHIPS

During one semester, PhD students are required to serve as a teaching assistant (non-compensated). Teaching Assistant (TA) positions are assigned by the PhD program director in
collaboration with the Office of Academic Affairs based on student expertise and interest. TA responsibilities vary by course and may include leading small group sessions, teaching, course grading, and leading review sessions prior to exams.

As part of the TA assignment process, each semester students who are interested in being considered for a TA position will be asked to:

- Send a current CUSON transcript to CUSON_Acad@cumc.columbia.edu
- Send a resume or CV to CUSON_Acad@cumc.columbia.edu
- Submit an online application

Notification regarding the due date for submission of these documents will be sent to students each semester. Past TA positions do not ensure a future TA position.

After fulfilling the one-semester TA expectation, PhD students may serve as compensated TAs during subsequent semesters based on interest. These TA opportunities, in addition to providing additional learning opportunities in the faculty teaching role, offer partial tuition waiver. Any questions regarding this process may be sent to CUSON_Acad@cumc.columbia.edu.

Please note that if you receive federal loans, TA compensation can only be applied during the semester you are teaching (compensation cannot be deferred to a later semester) and may affect your financial aid package. Teaching Assistantships are considered grants and will be considered as part of your financial aid package. This may decrease your loan eligibility and portions of your loans may need to be returned to your lender. If offered a compensated TA position, you must contact the Office of Financial Aid to discuss how this might affect your current financial aid package.

CASUAL (HOURLY) WORK AT COLUMBIA UNIVERSITY SCHOOL OF NURSING

Funded PhD students are eligible to work on a casual (hourly) basis at the School with the stipulation that grant-supported work must be in an area unrelated to the focus of the student’s area of research. If the work is not grant-supported, the work can be in any area. The maximum number of hours for either grant-funded or non grant-funded work is ten (10) hours per week.

COLUMBIA UNIVERSITY STUDENT RESOURCES

PLAN OF STUDY

All degree requirements (course work, qualifying exam, dissertation proposal defense, dissertation defense) must be successfully completed within seven (7) years following matriculation.

A full-time plan is provided as a template and timeframe within which program requirements can be met. This plan is subject to change during a student’s course of study. Each student is expected, with assistance from their advisor, to work out an individual plan of study that meets all program requirements. The plan of study shown contains the minimum number of dissertation research credits (4 per semester—fall, spring, summer) and non-nursing elective/cognate credits (8-9). Students typically take additional dissertation credits; however, students may enroll for additional specialty/cognate /elective credits as needed.
ADDITIONAL TRAINING

Students may wish to expand their skills by taking non-credit, no fee, online tutorials. The Columbia Libraries subscribe to Lynda.com, (http://www.lynda.com/portal/columbia) an online service that provides video-based tutorials and resources on a variety of web and software technology including EndNote and Microsoft Word and Excel.

An online tutorial for APA style can be found at: http://isites.harvard.edu/icb/icb.do?keyword=apa_exposed

OFFICE OF SCHOLARSHIP AND RESEARCH DEVELOPMENT (OSR)

The Office of Scholarship and Research facilitates faculty and pre-/post-doctoral research to maximize the success of funding applications and promote scholarly productivity including research, publications, and other means of disseminating scholarly work. This includes:

- Support for professional development
- Support for grant and research preparation
- Statistical assistance
- Database management and analysis
- Support for dissemination of scholarly work including guidance for poster preparation
- Tracking of research progress, scholarly work, regulatory compliance, and communications
- Budget planning and monitoring

Please visit the Office of Scholarship and Research Development webpage for more information and available resources.

ADDITIONAL STUDENT RESOURCES

A portal to additional resources for Columbia University students can be found at http://www.columbia.edu/content/students.html

This portal links to a wide variety of resources, including:

- Everyday Tools: Computer support, transportation, maps and Student Services Online (SSOL)
- Financial Tools: Student Financial Services, Graduate Student Financial Aid
- Events & Organizations: Student Organizations, University Event Management, Lerner Hall, Athletics
- Academic Tools: Academic Calendar, CourseWorks Learning Management System, Directory of Classes, University Registrar
- Health & Safety: Make a Health Appointment, Health Services, Public Safety, Preparedness
- Campus Services: Dining, ID Center

TURNITIN

Turnitin is a web-based platform that allows instructors and their students to check written work for improper citation or misappropriated content. Turnitin checks submissions against internet sources, publications, and other student papers within the course, at Columbia University, and at other institutions. Turnitin is software used by Columbia Nursing as a Plagiarism Detector &
Learning Tool. There is a Columbia Nursing writing workshop set up for students to preview Turnitin reports. Students will be e-mailed the login information the first week of school. For more information about Turnitin, refer to the School of Nursing Student Handbook.

CUMC CENTER FOR STUDENT WELLNESS
The Center for Student Wellness (CSW) aims to create an environment that promotes a variety of activities and services to help students manage the challenges of graduate school life. Workshops and special events include “Transition to Graduate School,” “Financial Wellness.” CSW hosts the CUMC Queer & Ally Partnership and also offers wellness resources (navigating stress, balancing time, relationships, etc.). For information, visit http://www.cumc.columbia.edu/student-health/center-student-wellness.

STUDENT E-MAIL
Students are required to have e-mail access, and to regularly access their individual Columbia University email.

COLUMBIA NURSING MONTHLY ELECTRONIC NEWSLETTER
Columbia Nursing publishes an electronic newsletter monthly with information about current research, publications, and events. To sign up for this newsletter, click here.

PHD STUDENT WORKSPACE
PhD students share access to dedicated PhD student computer workspaces in the School of Nursing. The managed desktop includes, but is not limited to, Windows 7, MS Office Professional (Word, Excel, PowerPoint, Access), SPSS, and allows students to save their data to a HIPAA-compliant network drive. Scheduling of these workspaces is coordinated by the Program Administrator.

Wireless internet access is available throughout the School of Nursing for use on personal wireless devices.

SEMINARS
A variety of seminars are sponsored by the PhD program, the Office of Scholarship and Research Development and a number of departments throughout the medical center campus. PhD students are expected to participate in the wealth of activities both within the school and throughout the campus. The following are the list of monthly seminars that take place within the School of Nursing:

- Doctoral Research Seminar (Doctoral Brown Bag): This seminar provides a venue for presentation, positive critique and scholarly interchange regarding ongoing cross-disciplinary research and related methodologies. Designed for nursing doctoral students, it is open to pre- and post-doctoral fellows, students, faculty and visiting scholars from other programs. PhD Students are expected to attend this seminar throughout their program.
  Doctoral Research Seminars are held on Tuesdays at 12 noon.

- The Reach for Research Excellence (REX) Group is a forum coordinated by the OSR to discuss a wide variety of scholarly activities and research-related topics for School of Nursing faculty and pre- and post-doctoral trainees.
  REX Group Sessions occur monthly and typically are held on the last Wednesday of the month from 12:00pm-1:00pm in the 1st Floor Student Lounge. Special REX Group Sessions occur periodically throughout the year.
To be notified of scheduled REX Group sessions, please e-mail sonosr@columbia.edu.

- **CIRI Seminars:** The [Center for Interdisciplinary Research to Prevent Infections (CIRI)](http://nursing.columbia.edu/academics/anna-maxwell-teaching-academy) embraces a broad mission to further research on preventing all types of infections in a variety of community and clinical settings. CIRI Seminars are typically held on the first Thursday of the month from 12-1pm (Sept-June) at the School of Nursing.

- The Anna Maxwell Teaching Academy at Columbia Nursing offers venues for doctoral students to be mentored from members of the teaching academy about course development and educational techniques. Information can be found at [http://nursing.columbia.edu/academics/anna-maxwell-teaching-academy](http://nursing.columbia.edu/academics/anna-maxwell-teaching-academy).

- Irving Institute for Clinical and Translation Institute: The Institute offers a Comparative Effectiveness Research (CER) and Patient-Centered Outcomes Research (PCOR) Monday Lunch Seminar Series with a variety of topics. Information can be found at [http://irvinginstitute.columbia.edu/news/seminars.html](http://irvinginstitute.columbia.edu/news/seminars.html).

**OTHER OFFERINGS**
The [Center for Health Policy](http://nursing.columbia.edu/academics/anna-maxwell-teaching-academy) (CHP) works with institutional partners at the local, state, and national levels to develop and evaluate health policy initiatives as well as translate research findings into effective policies. The CHP sees research as an integral component of its mission. Center faculty engage in health services research that broadens students’ educational experiences and expands the state of knowledge about how health services systems work. Faculty research on issues related to the organization, delivery and cost-effectiveness of health services is fundamental to the quality of the program. Center for Health Policy Seminars are announced throughout the academic year.

**COURSEWORKS NURSING PHD STUDENT INFO RESOURCES**
A CourseWorks project site “Nursing PhD Student Info Resources.” The site serves as a one-stop location to find forms, templates, links to student handbooks, class and seminar schedules and other information. The goal of this site is to store essential resources needed by PhD students throughout their course of study. All PhD students and PhD faculty can login to [CourseWorks](http://nursing.columbia.edu/academics/anna-maxwell-teaching-academy), click on “My Projects” and choose the project site “Nursing PhD Student Info.” Click on “Files and Resources” to view the available resources. This site will be continually updated. If you are unable to access the site or have suggestions for additions or edits, please contact the PhD Program Administrator.

**FUNDING FOR STUDENT TRAVEL TO PRESENT SCHOLARLY WORK**

**OSR: TRAVEL FUNDS TO PRESENT SCHOLARLY WORK**
PhD students who have accepted abstracts (first author) may apply to OSR for a maximum of $500 in travel funding (an additional $150 is available for poster printing) to offset the cost of travel and conference registration. To be considered for funding, submit the “Travel to Present Scholarly Work” form (available on the CourseWorks site) for approval before traveling. Once a student has been approved for travel support from the School, they must complete the [Travel and Business Expense Report](http://nursing.columbia.edu/academics/anna-maxwell-teaching-academy) (TBER) form. Please remember to keep all receipts when you travel (for meals and hotels, please obtain itemized receipts); they are required for
submission as part of the Travel and Business Expense Report.

Once completed and signed, the TBER must be submitted to the Program Administrator who will then submit the form to the appropriate department. Contact the PhD Office Administrator with any questions.

OTHER FUNDING SOURCES

NURSE FACULTY LOAN PROGRAM (NFLP)

The purpose of the NFLP is to increase the number of qualified nursing faculty. The program offers partial loan forgiveness for borrowers who graduate and serve as full-time nursing faculty for a prescribed period of time. Loan recipients may cancel 85% of the loan over four years in return for serving full-time as faculty in an accredited school of nursing. Requirements include taking additional education courses (suggested courses can be found on the CourseWorks site). For more information, contact the Columbia Nursing Office of Financial Aid (information at http://nursing.columbia.edu/admissions-and-financial-aid).

SIGMA THETA TAU (STT) INTERNATIONAL, ALPHA ZETA CHAPTER SMALL GRANT AWARDS

Columbia Nursing PhD students who are STT-Alpha Zeta members may apply for up to $1,000 in research funding. The call for proposals is typically issued once per year. For detailed information, please visit http://nursing.columbia.edu/academics/academic-resources-and-support/sigma-theta-tau-nursing-honor-society-alpha-zeta-chapter.

COLUMBIA UNIVERSITY GRADUATE STUDENT ADVISORY COUNCIL (GSAC) TRAVEL GRANT

Eligible graduate students may apply for individual travel grants (up to $500) to defray the costs associated with presenting their work at academic conferences. GSAC applications deadlines and other information can be found at http://columbiagsac.wpengine.com/student-resources/gsac-travel-grant/.

GRANTS MANAGEMENT OFFICE (GMO)/OFFICE OF SCHOLARSHIP AND RESEARCH (OSR) DEVELOPMENT POLICIES & PROCEDURES

GMO and OSR provide policies and procedures to assist students in grant pre-award and post-award procedures. GMO and OSR guide students (in collaboration with a faculty mentor) through the grant submission process. OSR coordinates a two-part internal review process for planned grant and sponsored projects applications consisting of (1) a Specific Objectives and Aims Review (SOAR) Session followed by (2) a formal Mock Review. The SOAR is scheduled 2-3 months prior to grant submission. To request a SOAR, complete the OSR Service Request: SOAR Session form, which can be found on the CourseWorks site under the “GMO & OSR” folder.

CENTERS AT THE SCHOOL OF NURSING

The following Centers, established within or closely aligned with the School of Nursing,
represent priorities of the institution, as well as focal areas of faculty expertise and research. These centers represent potential substantive specialty areas in which Columbia University School of Nursing doctoral students can receive particularly rich experiences.

**Center for Children and Families**
Director: Mary W. Byrne, PhD, CPNP, FAAN
The Center for Children and Families, under the directorship of Mary Byrne, PhD, is committed to improving the lives of children and families throughout the world by identifying, preventing, and reducing the effects of physiologic and social trauma on health. The Center’s objective is to provide the infrastructure to inspire, promote, and integrate culturally sensitive research and practice that will ensure optimum health for children and their families.

**Center for Health Policy**
Director: Pat Stone, PhD, RN, FAAN
The Center for Health Policy, under the directorship of Patricia Stone, PhD, leads research at the School of Nursing as they work with their interdisciplinary colleagues within the University and across the nation, to generate knowledge that will improve the quality and safety of our health systems.

**Center for Interdisciplinary Research to Prevent Infections (CIRI)**
Director: Elaine Larson, PhD, RN, FAAN, CIC
The Center for Interdisciplinary Research to Prevent Infections (CIRI), under the directorship of Elaine Larson, PhD, is supported by the School of Nursing and since 2007, 15 projects affiliated with CIRAR have been externally funded (NINR, NIH, CDC, AHRQ, NIAID, NCHMD and P-NICER). The Center prepares biomedical researchers and others in interdisciplinary research with a focus on the prevention and control of antimicrobial resistance.

**Precision in Symptom Self-Management (PriSSM) Center**
Administrative Core Leader: Sue Bakken, PhD, RN, FAAN, FACMI
Pilot Projects Core Leader: Walter Bockting, PhD and Mary W. Byrne, PhD, RN, FAAN
Precision Medicine Core Leaders: Kathleen T. Hickey, EdD, FNP/ANP, RN, FAAN, School of Nursing and Department of Cardiology and Lena Mamykina, PhD, Department of Biomedical Informatics
The PriSSM Center is an interdisciplinary collaboration at Columbia University School of Nursing that is supported by a 5-year National Institute of Nursing Research (NINR) grant (P30 NR016587). This Center of Excellence in Self-Management of Symptoms core grant is led by Suzanne Bakken, PhD, RN, FAAN and Kathleen T. Hickey, EdD, FNP, ANP, RN, FAAN (Multiple Principal Investigators) and is being conducted in partnership with the Center for Home Care Policy and Research of the Visiting Nurse Service of New York. The goal of the PriSSM Center is to advance the science of symptom self-management for Latinos through a social ecological lens that takes into account variability in individual, interpersonal, organizational, and environmental factors across the life course.

**OTHER INSTITUTES AND CENTERS**
Columbia University is privileged to sponsor a number of institutes and centers that engage in funded, interdisciplinary research and program development, sponsor workshops and courses, and act as a clearinghouse for information related to their specific focus. Faculty from the School of Nursing are members of many of these centers and institutes. The institutes and centers listed below may be of particular interest:
- [Irving Institute for Clinical and Translational Research](#)
• **INCHOIR** (International Center for Health Outcomes and Innovative Research)
• **Alzheimer's Disease Research Center (ADRC)**
• **HIV Center for Clinical and Behavioral Studies**
• **Center for Neurobiology and Behavior**
• **Center for the Study of Society and Medicine**
• **Institute for the Study of Human Rights**
• **Institute of Human Nutrition**
QUALIFYING EXAMINATION

The qualifying exam is a significant, integrative assignment that assesses the student's ability to process and utilize information regarding relevant content, concepts, framework and research methods learned in coursework and independent study, and to analyze and synthesize this material within the context of the student's individual research interest and planned dissertation topic.

QUALIFYING EXAMINATION COMMITTEE (QEC)

The required qualifying examination process is overseen by the Qualifying Examination Committee (QEC), which consists of three members of the PhD faculty who are each appointed for a three-year term (on a rotating basis) by the Director of the PhD Program. The members of the QEC supervise the process and, in collaboration with each qualifying student's sponsor, grade the exam for all of the exam candidates in that year.

ELIGIBILITY FOR EXAM

With approval of their advisor, students may prepare to submit the completed Qualifying Examination assignment after successful completion of or current enrollment in the following required courses as listed below and approval of the Program Director:

- P6103 Introduction to Biostatistics
- N9910 Translation/Synthesis Evidence/Optimal Outcomes
- N9352 Qualitative Research Design and Methods
- N9356 Intellectual and Conceptual Foundations of Nursing Science
- N9550 Research Synthesis through Visualization of Health Data
- N9357 Measurement in Nursing Research
- N9355 Quantitative Research and Design
- P8100 Applied Regression
- G4010 Responsible Conduct of Research
- N9260 Building Interdisciplinary Research Models
- N9505 Research Practicum
- N9353 Advanced Methods in Health Services Research and Policy Analysis
- Elective Courses (8-9 credits)

NOTE: To ensure eligibility for the Qualifying Examination, students must complete an application (see Application for Qualifying Examination Form—Appendix C). The application must be signed by the student’s advisor and the PhD program Director and submitted to the PhD Office Administrator. The date the application is due will be announced by the PhD Program office yearly. After submission, approval will be given pending satisfactory completion of N9505 Research Synthesis (this will have to be verified prior to beginning the Qualifying Examination). NOTE: Any incomplete grades must be removed before submitting the Qualifying Examination. Students must complete the Qualifying Examination within two (2) semesters of completing all required course work. Successful completion of the Qualifying Examination implies readiness to pursue dissertation research.
**DATES OF EXAMINATION**

The written component of the Qualifying Examination is begun independently by each student following consultation and approval of topics with their individual dissertation sponsor (Appendix I) and the QEC. The written component must be finished and submitted by the assigned date in early October. The examination may also be offered in June in rare circumstances and dependent on student need. Dates for the completion of the written and oral portions of the examination are determined by the QEC. The written component will be submitted by all applicants on a date in mid-January (specific date designated by the QEC). The oral component of the examination is scheduled for all applicants approximately one week following submission of the written component on a date designated by the QEC.

<table>
<thead>
<tr>
<th>Qualifying Examination Timeline for January 2018 Exam</th>
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<tr>
<td><strong>Late September/Early October 2017</strong></td>
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<tr>
<td>• Students for completion of exam in January are identified and notified by PhD Program Director.</td>
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<tr>
<td>• All students planning to take exam must have filed Qualifying Examination Approval form with the PhD office.</td>
</tr>
<tr>
<td>• Students will meet with individual sponsors to determine components of the literature review relevant to anticipated dissertation and to determine whether the second paper should focus on a critical analysis of concepts, measures, or methods.</td>
</tr>
<tr>
<td>• The Qualifying Examination Committee (QEC) will meet with the students and review the purpose, structure, timeline and student expectations for the examination.</td>
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<tr>
<td><strong>Mid-January 2018</strong></td>
</tr>
<tr>
<td>• Qualifying—written component completed and submitted to QEC</td>
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</tbody>
</table>
DESCRIPTION OF QUALIFYING EXAMINATION TO APPLICANTS

Upon identification of the students who will take the examination, the Chair of the QEC will convene a meeting with the students to review the Qualifying Examination process (in September).

CODE OF CONDUCT

PhD students are expected (honor system) to independently prepare the written assignments associated with the Qualifying Examination. Ongoing advisement with the sponsor continues; however, the sponsor does not have involvement with the preparation and progress of papers for the Qualifying Examination. Third year students may share their experiences and papers with second year students. However, all narrative writing must be the student’s own work. No external editing is allowed. Failure to independently prepare the written assignments is grounds for academic dishonesty and may result in appropriate disciplinary action.

WRITTEN COMPONENT

The written component of the Qualifying Examination is comprised of two comprehensive papers. The first is a literature review on a topic of the student’s choice suitable for the anticipated dissertation. The literature review may be conducted as an integrative review or a systematic review. The decision for the type of review will be made by the student with input and approval from the student’s advisor. The second is a critical analysis of either the concept, measures or a method relevant to the proposed dissertation. It is expected that papers will be about 15 pages in length (double-spaced, exclusive of reference list, tables and figures; Times New Roman 12 point or comparable size font) and use APA or other established format, in anticipation of subsequent preparation for submission for publication. Neither submission nor publication are required for the Qualifying Examination.

ORAL COMPONENT

All students move to the oral examination within 1-2 weeks following submission of the written assignment(s). The oral component of the examination provides an opportunity for the student to clarify and amplify responses on the written submission(s). During the oral component, additional questions will be posed to further test the student’s mastery of the basic elements of nursing science, and to test the ability to apply these elements to the chosen specialty area.

GRADING OF THE QUALIFYING EXAMINATION

The written component is independently reviewed by each member of the QEC. Feedback on the written submission is also provided to the QEC by the student’s sponsor. The QEC review is first conducted separately by each member of the QEC followed by a joint discussion of the committee. Criteria for QEC evaluation include the students’ ability to: organize, present, and comment critically on the relevant literature, and on either the concept, measures, or methods relevant to proposed dissertation in a way that is comprehensive, accurate, logically consistent, scholarly, and reflective. The oral examination provides an opportunity for the student to expand on the responses provided in the two written assignments and address questions developed by
the examiners during review of the written components. Passing is based on the students’ ability to think critically, which is demonstrated in both verbal and written responses.

The Qualifying Exam is not given a letter grade. Students pass, receive a conditional pass, or fail. No more than 3 days after the oral phase of the examination, the PhD Program Director informs each student in writing of the committee decision regarding grade of pass or fail on the qualifying examination.

In rare instances a student may be informed of limited deficiencies in their examination and be given a conditional pass. In this case, the procedure and conditions to remove those deficiencies before a passing grade will be provided and the student will enroll in a guided study with their sponsor.

In the case where a student is not successful in passing the examination, the QEC will provide a brief written statement of areas of deficiency. The student must enroll in either a guided study or other course determined with the academic advisor and focused on a specified remediation plan to prepare for the next examination. The student’s sponsor will monitor the progress of the student in this remediation plan. Students who do not pass the examination may retake the examination once, at the next scheduled time. Those students who receive a failing grade for the examination on the second attempt will be dismissed from the program.

GUIDANCE ON THE USE OF LIBRARIAN SERVICES FOR THE QUALIFYING EXAM

It is acceptable to use the services of the Health Sciences librarians to assist students in searching under the following circumstances:

- The student presents the librarian with a clear objective for the search.
- The librarian advises but does not perform the search.
- The student may have one or more meetings with the librarian.

The reason for this decision is twofold:

1. It is an accepted and indeed an important practice for a researcher to consult with a librarian to ensure a high quality search;
2. If you conduct an incomplete search because you did not use a librarian it will limit the development of your Qualifying Exam paper as a future publication.

The librarian is not to advise students on describing the search strategy in the writing of the paper. That is to be the student’s independent work.
APPLYING FOR THE MASTER OF PHILOSOPHY DEGREE

The Master of Philosophy degree (MPhil) is awarded upon successful completion of the qualifying examination. The PhD office will submit an Application for the Master of Philosophy (Appendix D) attesting to the completion of all prerequisites, including all required course work completed over two years at Columbia University and successful completion of the qualifying examination. Dates and deadlines of MPhil conferral can be found at the GSAS website: http://gsas.columbia.edu/content/dates-and-deadlines

Applications for the MPhil degree can be filed at any point in the calendar year.

For students proceeding to PhD candidacy, the MPhil application form, once signed by the Program Director is then forwarded by the Program Administrator to the GSAS Dissertation Officer.

NOTE: It is a GSAS expectation that students submit their dissertation proposal within 6 months after completion of the MPhil degree.

DISSERTATION

The Dissertation Office of the Graduate School of Arts and Sciences (GSAS) provides advanced doctoral candidates with dissertation guidelines and forms including the application to defend the dissertation and the final deposit and award of the PhD degree. http://gsas.columbia.edu/dissertations

The Dissertation Office is located in 107 Low Memorial Library, 535 West 116th Street, and is open Monday through Friday from 9:00 AM – 5:00 PM. (FAX: 212-854-2863).

Julissa Peña
Academic Affairs Manager
jpena@columbia.edu
(212) 854-2866

Esmeralda McCormick
Dissertation Secretary
es183@columbia.edu
(212) 854-2854

The PhD Program Administrator can also provide information about dissertation forms, deadlines and guidelines. As is the case for other CUMC PhD Programs, there is no language proficiency requirement for the Nursing PhD.

DEFENSE OF DISSERTATION PROPOSAL

Students begin to prepare their dissertation proposal after successful completion of the Qualifying Examination. Students work with their sponsor to identify two additional examiners to serve (committee of three) as the core dissertation committee. GSAS allows for one of the three
examiners to be a non-Columbia faculty member if the sponsor and Program Director deem the particular examiner’s expertise useful to the dissertation proposal defense. When the proposal is ready (per guidelines in “Dissertation Proposal Preparation”) and the committee has been identified, the sponsor will arrange for the proposal defense. Students must complete Appendix J: Intent to Schedule Proposal Defense (form available on CourseWorks site), obtain the sponsor’s signature, and submit to the Program Administrator for review and signature by the Program Director.

During the dissertation process, the student and sponsor identify two additional committee members for the dissertation committee. In the School of Nursing, “inside” examiners are those with an appointment in the School of Nursing.

**DISSERTATION SPONSOR**

http://gsas.columbia.edu/content/faculty-sponsorship-dissertation

A sponsor of a dissertation is defined as a faculty member approved by the student’s department/program to guide dissertation research and to participate at the defense (as one of the required three members of the GSAS Faculty). No faculty member may sponsor a dissertation unless he or she has been nominated by a Doctoral Program faculty and approved by the Executive Committee of the Graduate School and the GSAS Dean.

NOTE: Adjunct faculty in GSAS departments/programs are eligible to serve as sponsors, provided that a full-time Columbia faculty member who is an approved sponsor serves as a co-sponsor and accepts that designation as a genuine responsibility. The co-sponsorship provision reflects the GSAS Executive Committee’s conviction that all Columbia University dissertations should be sponsored or co-sponsored by regular full-time faculty members.

The list of approved Faculty Sponsors in the School of Nursing can be found at http://gsas.columbia.edu/dissertation-sponsors#nurs. The dissertation sponsor is usually an expert in the substantive area of the student’s research; in some cases, the sponsor may be expert in the methodology of the dissertation or a closely related area. In such a case, the remainder of the dissertation committee will provide expertise related to the substantive area of the students’ dissertation project. Students may consult with several qualified faculty to determine who are the most appropriate in terms of expertise and working styles. During the dissertation phase, the sponsor will provide academic advisement as well as dissertation guidance.

**STEPS IN THE DISSERTATION PROCESS**

The dissertation process following successful completion of the Qualifying Examination includes:

- Preparation of proposal
- Proposal defense
- Acceptance of proposal
- Obtain IRB approval
- Completion of the research
- Application to defend dissertation and selection of defense committee
- Defense of dissertation
- Final editing
- Final filing of dissertation
**DISSERTATION PROPOSAL PREPARATION**

Students commence work on planning the dissertation research and preparing the proposal before taking the qualifying examination, often in conjunction with course assignments and independent study experiences. Students should plan to submit the dissertation proposal to the dissertation committee within six months of completing the qualifying examination.

Preparation of a dissertation proposal is the first step in the process of conducting dissertation research. APA format must be used for the dissertation proposal and the final dissertation. The proposal is a formal document detailing the problem to be studied, the purpose and specific aims for the project, the scientific background for the study, hypotheses to be tested and/or research questions to be answered, and the specific instrumentation, data sources, methods and procedures for carrying it out. GSAS provides detailed dissertation formatting guidelines at [http://gsas.columbia.edu/content/formatting-guidelines](http://gsas.columbia.edu/content/formatting-guidelines). It is recommended that students follow these guidelines early in the process.

The format of the proposal defense is determined by the student’s dissertation committee. One of the two following alternative formats will be selected:

a) The proposal is written so as to contain all of the information and detail that would ordinarily be found in the first three chapters of the traditional dissertation (i.e., Introduction and Statement of the Problem, Review of Literature and Methodology) as outlined in Appendix E 1 and E2.

b) Publication format: The proposal is written to contain an introduction chapter (5-15 pages), a completed systematic or integrative literature review, and the purpose and complete methods section of two additional manuscripts. The introduction chapter includes the significance of the problem, conceptual framework guiding the dissertation research, the conceptual and theoretical linkages among the proposed manuscripts, and specific aims and hypotheses for the dissertation work.

American Psychological Association (APA) format should be used for headings and citation references. At the discretion of the dissertation committee, the literature review for the proposal can be less comprehensive than that included in the dissertation; but should be presented in sufficient detail to establish the intellectual background and context for the research.
The table below compares dissertation proposal requirements by format option. Further detail regarding what is contained in each chapter of the traditional dissertation (by study design) and the publication format options can be found in Appendices E1, E2, and F2.

<table>
<thead>
<tr>
<th>Introduction and Outline of the Problem</th>
<th>Traditional Format Dissertation Proposal</th>
<th>Publication Format Dissertation Proposal</th>
</tr>
</thead>
<tbody>
<tr>
<td>Introduction to overall topic including significance, conceptual underpinnings and the logical link between the 3 manuscripts</td>
<td>Chapter 1</td>
<td>Chapter 1</td>
</tr>
<tr>
<td>Plan and/or draft of each of 3 papers</td>
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<td>©</td>
</tr>
<tr>
<td>Literature review</td>
<td>Chapter 2</td>
<td>Manuscript 1 – systematic or integrative literature review with target journal identified</td>
</tr>
<tr>
<td>Methodology</td>
<td>Chapter 3</td>
<td>Study purpose and methods fully developed (See Note); 3 potential journals identified</td>
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<tr>
<td>Manuscript 2</td>
<td></td>
<td>Study purpose and methods fully developed (See Note); 3 potential journals identified</td>
</tr>
<tr>
<td>Manuscript 3</td>
<td></td>
<td>Study purpose and methods fully developed (See Note); 3 potential journals identified</td>
</tr>
</tbody>
</table>

Note. See Appendix E1 and E2 for details regarding how to develop the Methods section.
DISSERTATION PROPOSAL DEFENSE

The dissertation sponsor functions as the chair of the student’s dissertation committee and is the faculty member with primary responsibility for guiding and approving each phase of proposal development and the conduct of the research. Ordinarily the dissertation advisor reads and makes suggestions about preliminary drafts of the proposal before the “final” version of the proposal is distributed to the other members of the committee for review. It is expected that the full committee meets at least once prior to the formal dissertation proposal defense.

The dissertation proposal defense is a formal meeting of the dissertation committee during which the student is examined about the proposal and an agreement is reached about the specific nature of the proposed dissertation research. The student may be asked to answer questions about and defend any aspect of the proposal, including the choice of problem to be studied, the theoretical and empirical background for the study, methodology chosen (including instrumentation, design, statistics, etc.), and anticipated difficulties and ways to handle them. Because the proposed research reflects the students’ understanding of the area of specialization and the integration of knowledge of various aspects of nursing science, as well as knowledge from disciplines relevant to the research topic, the proposal defense provides an opportunity for the dissertation committee to assess mastery of these areas.

In addition, the proposal defense includes assessment of the quality and feasibility of the proposed research, and formal action to approve and/or recommend changes in the proposal. Ordinarily the dissertation proposal defense will not exceed two hours in length. Following the meeting the committee confers to determine the grade and any specific recommendations for revision of the dissertation proposal. The student is then informed of the committee’s decision.

Evaluation of the student’s performance in the dissertation proposal defense takes into account evidence of familiarity with and ability to assess the most important literature (classical and current) in the field, ability to cite the work of authorities in the field, ability to articulate and take defensible positions on key conceptual/theoretical, substantive and methodological issues regarding the specialty and the area of research; evidence of critical and creative thinking about the specialty; and ability to present and defend plans for research that advances the field of study.

Students who fail the dissertation proposal defense on first attempt are permitted to submit a revised copy of the dissertation proposal and to repeat the defense no sooner than one month and no longer than six months after the initial defense. A student who fails the dissertation proposal defense a second time or who does not make the required changes and re-defend the proposal within the designated time frame will be terminated from the PhD program.

The results of the dissertation proposal defense are formally reported to the Director of the PhD Program using the form in Appendix E, which can be downloaded from the GSAS website: http://gsas.columbia.edu/sites/default/files/GSAS-proposal.pdf.

Dissertation proposals shall be approved in writing by the dissertation committee (Appendix E) following a formal Proposal Defense. The approved proposal constitutes a “contract” with the student about what will be required for the dissertation research. Any unanticipated major modification to the proposal must be approved in writing by the entire committee.

IRB APPROVAL

Following approval of the dissertation proposal, the student is expected to seek Institutional Review Board (IRB) approval, and is responsible for becoming familiar with and following all IRB
precautions and procedures for protection of human subjects. Data collection cannot begin until the study receives full IRB approval from the Columbia University Medical Center IRB, as well as from IRBs of all institutions and settings in which data collection will occur. For purposes of the Columbia IRB, the dissertation sponsor is considered to be the Principal Investigator of the study; however, this in no way diminishes the student’s responsibility for participating fully in the process of securing IRB approval for the research.

**SUBMISSION TO COLUMBIA UNIVERSITY MEDICAL CENTER INSTITUTIONAL REVIEW BOARD (IRB)**

All doctoral student research involving human subjects, including reviews of patient records, must undergo IRB and/or HIPAA review.

1. Policies and guidance for IRB submission can be found at [http://www.cumc.columbia.edu/dept/irb/policies/index.html#irb](http://www.cumc.columbia.edu/dept/irb/policies/index.html#irb)

2. Consent forms, HIPAA forms, and IRB forms are completed through Columbia University’s Research Administration System (RASCAL), [https://www.rascal.columbia.edu/](https://www.rascal.columbia.edu/)

3. Because the University assumes responsibility for any research conducted under its aegis, a faculty member, usually the sponsor, must serve as principal investigator (PI). A student may not be designated as the PI. Following successful completion of the doctoral proposal defense, the student should:
   - Discuss the protocol with his/her dissertation sponsor and get the faculty member’s permission to be designated as PI;
   - Prepare the IRB and/or HIPAA submission forms, designating his/her faculty advisor or dissertation sponsor as the PI.

**COMPLETION OF THE RESEARCH**

The dissertation research, report, and oral defense are the culminating and most important requirements of the PhD program. The dissertation itself is the written report of an original and independent research project that is designed to contribute toward the advancement of nursing science. The research should yield improved understanding of a significant phenomenon or problem in the student’s specialty area that is related to advancing nursing practice and/or health policy. The dissertation research topic is chosen by the student with the advice and approval of the dissertation sponsor and committee. The specific methodologies to be used in the dissertation research depend on the nature of the topic being studied and the availability of supervisory faculty expertise. Statistical consultation is available and needs to be arranged by the student’s sponsor.

Because the PhD in Nursing is granted by the Graduate School of Columbia University, all policies, regulations and rules for the development of the dissertation and its defense are governed by GSAS. Information on each step of the dissertation phase is available in 107 Low Memorial Library and on the GSAS website at [http://gsas.columbia.edu/dissertations](http://gsas.columbia.edu/dissertations)
STEPS IN THE DISSERTATION DEFENSE PHASE

The steps in the Dissertation Defense phase include:

- Nominations and Appointments of the Defense Committee
- Registration and Application
- Scheduling the Defense
- Distributing Dissertation Copies
- Defense and Evaluation
- Award of the Degree

NOMINATION AND APPOINTMENT OF THE DEFENSE COMMITTEE

Information about the appointment process for committee members can be found at: http://gsas.columbia.edu/content/nomination-and-appointment-defense-committee

It is important to note that:

1. The student must not be involved in the selection of committee members. This is the role of the sponsor, in collaboration with the Program Director;
2. Committee members must be approved by their schools/departments;
3. Special terms apply to “interdisciplinary” committees where the external member may come from inside the school but outside the field.

When in doubt, consult with the PhD Program Administrator.

REGISTRATION

REGISTRATION FOR U.S. STUDENTS

U.S. citizens and permanent residents must be registered during the term (fall, spring or summer) in which they distribute final copies of the dissertation (as approved for defense by the sponsor) to the five approved defense committee members. (See Distributing Dissertation Copies for detailed information.) Students who are distributing and/or defending must register for 4 credits of N9840 Dissertation Research and the zero-credit N9901 Research Residency. Students who are defending while on teaching or research appointments, or who are also completing pre-dissertation degree requirements, must register. These rules apply to the entire academic year (fall, spring and summer semesters). The semester in which distribution occurs is the last semester in which a student is permitted to register. For information about registration requirements for the distribution of the dissertation and distribution deadlines, please visit the GSAS Registration and Application for PhD Defense page.

REGISTRATION FOR INTERNATIONAL STUDENTS IN F-1 OR J-1 STATUS

International students must be registered during the semester (fall, spring or summer) in which they distribute final copies of the dissertation (as approved for defense by the sponsor) to the five approved defense committee members. The semester in which distribution occurs is the last semester in which a student is permitted to register. International students in F-1 or J-1 status must consult with the International Students and Scholars Office regarding their registration requirements.
APPLICATION FOR DISSERTATION DEFENSE

The Application for Dissertation Defense form (Appendix H: http://gsas-2112p-01.gsas.columbia.edu/sites/default/files/GSAS-defense.pdf) is due at the time of distribution and at least four weeks prior to the dissertation defense.

The Application for Dissertation Defense form must be completed by the sponsor. The organizer of the committee enters the names of the defense committee members and submits the form to the PhD Program Administrator for submission to the GSAS Dissertation Office. The proposed date and time of the dissertation defense must be indicated on the Application for Dissertation Defense. After receiving the Application for Dissertation Defense the Dissertation Office confirms that the candidate has received the MPhil degree and confirms that the committee, as proposed by the department/program, is in accordance with GSAS rules on committee composition.

DISTRIBUTING DISSERTATION COPIES

The student must distribute copies of the dissertation to defense committee members at least four weeks before the anticipated defense date so that committee members have time to read the dissertation thoroughly before the defense. If a particular conferral date is desired, the candidate should be careful to distribute the copies early enough to allow committee members time to read the dissertation at least four weeks in advance of the defense date.

Following approval by the sponsor of the dissertation for defense, the student or the department/program should give a copy of the dissertation to each of the five members of the approved defense committee. Each distribution copy of the dissertation must be complete with bibliography, abstract, table of contents, as department/program guidelines dictate. The copies should be neat, without lengthy ink insertions or corrections and can be on ordinary copy paper. Students should not use colored or lined paper. The Dissertation Office does not examine the defense copy, but students should keep in mind that their committee will look at style and neatness. Objections to the format of the defense copy raised by a committee member must be addressed before the defense is scheduled.

SCHEDULING THE DEFENSE

No defense shall be scheduled until the dissertation sponsor and department/program chair signify (by completing and signing the Application for the Dissertation Defense form) that, in their judgment, the dissertation is acceptable enough to warrant a defense and final examination. A decision by the sponsor that a dissertation warrants a defense does not necessarily indicate how either would vote after the dissertation defense.

The GSAS Dissertation Office does not schedule dissertation defenses for nursing students; all defenses are scheduled by the PhD Program Office. No student may schedule his or her own defense.

Once the Application for the Dissertation Defense form has been submitted to the GSAS Dissertation Office, GSAS will send a “blue folder” to the PhD Program Administrator containing the Voting Sheet and “blue card” used to deposit the dissertation.

During the defense, committee members indicate their votes and sign the voting sheet, which
becomes a formal record of the Graduate School. The PhD Program Administrator returns the signed Voting Sheet to the Dissertation Office. The candidate receives the “blue card” when it has been determined by the student’s sponsor or chair that the dissertation is ready for deposit.

**PHD DEGREE CALENDAR**

**Dates and Deadlines**: [http://gsas.columbia.edu/content/dates-and-deadlines](http://gsas.columbia.edu/content/dates-and-deadlines)

PhD degrees are conferred three times during the academic year—in October, February, and May. Students intending to defend the PhD dissertation must file an Application for Dissertation Defense (see above for suggested deadlines).

When the faculty committee has certified that the student has passed the dissertation defense, the candidate must, in order to receive the degree, follow the GSAS instructions for depositing the dissertation. Please visit: [http://gsas.columbia.edu/content/electronic-deposit-faqs](http://gsas.columbia.edu/content/electronic-deposit-faqs) and [http://gsas.columbia.edu/content/deposit-gateway](http://gsas.columbia.edu/content/deposit-gateway).

**THE DISSERTATION DEFENSE**

The defense must be conducted in English.

**EMERGENCY ABSENTEE**

A committee is permitted to convene in the absence of one member only in cases of extreme circumstance or emergency. The absent member may not be the PhD candidate's sponsor, or the chair of the committee. If a member of a committee will not be able to attend the defense, the Dissertation Officer must be notified prior to the defense to obtain approval of the Dean of GSAS. If the Dean of GSAS grants permission for the defense to take place, the absent member is required to write a detailed letter containing all comments and questions that would normally be raised at the defense and his or her provisional vote. The Chair of the committee will present these questions to the candidate, rule on the candidate’s responses, and signs the voting sheet in the absent committee member’s name.

If the emergency that prevents the member from being present cannot be anticipated, the absent member is requested to comply with the regulations above as soon as possible after the defense. The vote will not be considered final until the required letter has been submitted to the Dean’s Office by the absent member of the committee.

**THE VOTE**

The closed portion of the defense normally lasts one hour. The Chair of the Committee is responsible for allotting time, allowing each faculty member time to examine the candidate.

Typically examiners provide lists of points they wish to discuss. These points are either substantial or editorial. Unless it is necessary to show that a very poorly written dissertation must be entirely rewritten, examiners should not take up these editorial comments one by one. Examiners should give their sheets of notes on these matters to the candidate, leaving time to examine matters of substance.
When all examiners have finished their questioning, the Chair asks the candidate to step outside and wait for a signal to return. The committee rises on the candidate’s leaving and entering.

During the discussion period, the Committee makes its decision on the defense:

**APPROVED AS SUBMITTED**  
The committee may ask that minor revisions or corrections be made before the dissertation is deposited. A written description of those minor revisions should be provided to the candidate at the defense. These minor revisions should be completed to the satisfaction of the sponsor within one month after the defense. If for exceptional reasons more time is needed, the candidate may apply for an additional one-month extension by submitting a letter to gsas-dean@columbia.edu with the request. 

**APPROVED PENDING REVISIONS**  
The committee may ask that revisions be made before the dissertation is deposited. A written description of those revisions should be provided to the candidate at the defense or shortly afterward. These revisions should be completed to the satisfaction of the sponsor within six months after the date of the defense. If for exceptional reasons more time is needed, the candidate may apply for an additional two-month extension by submitting a letter to gsas-dean@columbia.edu with the request. 

**REFERRED**  
The committee believes that substantial work must be undertaken on the dissertation by the candidate before it can reach a recommendation to award the degree. A detailed written description of the reservations about the examined dissertation should be provided to the candidate at the defense or shortly afterward. At the time of the defense, a subcommittee composed of at least three unanimously agreed upon members of the original committee (and including the sponsor) will be formed. The specified revisions should be completed to the satisfaction of the subcommittee within one year after the date of the defense. A statement from the candidate indicating the specific changes made in response to the committee’s request for revision must accompany the revised version, and both be sent to the sponsor. The sponsor will share the statement and the revised version with the members of the defense subcommittee, each of whom must communicate explicitly to the sponsor his or her appraisal of the revisions undertaken. A majority of the subcommittee must approve the revised version for the candidate to be recommended for the degree. The dissertation will then be recommended for award of the degree.
FAIL

The committee believes that the dissertation is not acceptable, and the candidate will not be
recommended for the degree. No candidate may have a second defense unless the dean of
GSAS concludes, upon evidence provided either by the candidate or by a member of the
committee, that procedural irregularities occurred during the defense.

ELECTRONIC DEPOSIT

When you have completed any required revisions to your dissertation and obtain approval to
deposit your dissertation, complete these four steps (in any order) to complete your deposit:

1. Complete the GSAS deposit application and pay the $85 processing fee;
2. Submit the required Survey of Earned Doctorates online;
3. Upload and submit a PDF copy of your dissertation;
4. Obtain a signed Approval Card that certifies you have made all required revisions and
   that the dissertation has been approved for deposit by your sponsor and by your
doctoral program.

The deadline for depositing your dissertation is six months from the date of your defense. If you
wish to receive your degree at a particular degree conferral (October, February, or May) please
click here for the relevant deadlines for each conferral.

Paper copies of dissertations will no longer be available through the Columbia University
Libraries. Dissertations are available online through the University’s research repository,
Academic Commons, which will be considered the repository of record for Columbia University’s
doctoral dissertations. All deposited dissertations will have a catalog entry in CLIO (Columbia’s
library database). Refer to Appendix G of this document for information about embargoing
dissertations.

Please visit: http://gsas.columbia.edu/content/deposit-gateway for frequently asked questions
about electronic deposit.

AWARD OF THE DEGREE

After the successful defense and complete deposit of the dissertation, the degree is awarded on
the next subsequent conferral date, in October, February or May of each year. Please provide
an electronic copy of your dissertation to the PhD Office after you have deposited. The student
must clear all outstanding accounts, including those in Student Financial Services, Health
Services, Columbia Information Technology, the Library and the Registrar’s Office.

SCHOOL OF NURSING GRADUATION, DOCTORAL CONVOCATION AND UNIVERSITY
COMMENCEMENT CEREMONIES

ELIGIBILITY
Students who plan to deposit by the October after spring graduation ceremonies are eligible to
participate in graduation, convocation and/or commencement. Note that students who have not
deposited by the May deadline will not be listed in the Columbia University Doctoral Convocation or University Commencement programs. Students must receive guidance from their advisor to determine anticipated date of deposit.

**COLUMBIA NURSING GRADUATION**

Nursing graduates are recognized individually for their successful degree completion at the end of spring semester. Students are congratulated on stage by the Dean. This ceremony provides photo opportunities of students progressing in and on stage. Information about Graduation will be sent via email from the Nursing Office of Student Services and will be posted on the School of Nursing website.

**DOCTORAL CONVOCATION**

Celebrations for candidates from these three conferral dates are held once each year in May. Degree candidates are invited to robe and march in the annual Doctoral Convocation in the Graduate School of Arts and Sciences and University Commencement. There are no conferral ceremonies held in October or February. At the time of deposit, all candidates will receive a letter from the Dean's Office certifying completion of all academic requirements for the doctoral degree. Information about the Doctoral Convocation can be found at http://gsas.columbia.edu/content/phd-convocation

**UNIVERSITY COMMENCEMENT CEREMONY**

Columbia University Commencement is held at the end of spring semester. The President of the University grants degrees en masse to graduating students from eighteen schools, colleges, and affiliated institutions. Over 11,000 degree candidates participate in this ceremony. Information about Commencement will be sent via email from the Nursing Office of Student Services and will be posted on the School of Nursing website.

**SUMMARY: DISTRIBUTION, DEFENSE AND DEPOSIT IN TEN STEPS**

The GSAS Dissertation Website lists the steps to be taken to distribute, defend and deposit your dissertation.

Click the link to be taken directly to the GSAS Dissertation Office for forms and more information. http://gsas.columbia.edu/content/distribution-defense-and-deposit-ten-steps

All of the steps below can take place at any time during the year. However, if you are aiming to receive your degree at a specific degree conferral (October, February or May), you must follow a set of deadlines for that conferral. These deadlines are available online here. Please note also that these steps are intended to be a general guideline for the distribution, defense, and deposit, but it is your responsibility to review the more detailed policies on the Dissertation Office webpages.

1. **Get in touch with your department or program’s office.** Your program administrator should be your first stop when preparing to distribute and defend. They are knowledgeable about GSAS policies, and can advise you on any program requirements that go beyond GSAS rules.

2. **Discuss with your sponsor (advisor) to determine your five-person examining
committee. Guidelines for the nomination and appointment of the defense committee are available [here](#). Any questions about committee composition should be directed either to your Chair or to the Dissertation Office. Please note that GSAS policy states that students should NOT be put in the position of approaching faculty members about serving on their committee. This is the responsibility of the sponsor and/or department.

3. **Only the program director or administrator (not the student) may submit the five names of your proposed committee to GSAS for approval.** The Application for Dissertation Defense form is signed by your department or program’s Chair or Director of Graduate Studies, and is submitted by your program’s office to the GSAS Dissertation Office. The form is available online [here](#).

4. **Your department or program (not the student) schedules the defense.**

5. **Distribute final copies of your dissertation to your five examiners after your committee is approved.** You must be registered for the semester in which your distribution takes place. This is your final semester of registration, even if your defense and deposit take place in a subsequent semester. Click [here](#) for more detailed information about final registration requirements. International students with questions about their registration and remaining in visa compliance should contact the International Students and Scholars Office (ISSO).

6. **Defend your dissertation.** Please remember that during the closed portion of the dissertation examination, there should be no one present other than the five examiners and the doctoral candidate.

7. **Complete any required revisions.** Students who receive a vote of “pass with minor revisions” are given are given a maximum of six months to complete these revisions and deposit their dissertation. For more detailed information, please consult [this page](#).

8. **Obtain approval to deposit your dissertation.** This is done using the Approval Card, given to you upon passing your defense. After your revisions are approved, the card must be signed by your sponsor, as well as your department Chair or program Director. These signatures allow you to deposit your dissertation.

9. **Deposit your dissertation with GSAS.** This is the final step to earning the PhD degree. Complete information regarding the deposit is available through the Deposit Gateway. You should also review the FAQ about the electronic deposit system.

10. **Register to Participate in Graduation Ceremonies.** The PhD Convocation and University Commencement ceremonies, held in May, recognize graduates from the October, February, and May degree conferrals. We look forward to celebrating your accomplishment with you, but please note that you are not required to attend the graduation ceremonies to receive your degree. You may register to participate using the online form.
APPENDICES

Appendix A: PhD Student Program Plans of Study—2013 Cohort
  PhD Student Program Plans of Study—2014 Cohort
  PhD Student Program Plans of Study—2015 Cohort
  PhD Student Program Plans of Study—2016 Cohort
  PhD Student Program Plans of Study—2017 Cohort

Appendix B: Contract for Research Practicum

Appendix C: Application for Qualifying Examination for the PhD Degree

Appendix D: Application for the Master of Philosophy

Appendix E.1: Dissertation Proposal Outline—Quantitative

Appendix E.2: Dissertation Proposal Outline—Qualitative


Appendix G: ProQuest UMI, Academic Commons & Copyrighting the Thesis

Appendix H: Application for the Dissertation Defense

Appendix I: (formerly Appendix K) Confirmation of Topics—Qualifying Examination for the PhD Degree

Appendix J: Intent to Schedule Proposal Defense
## APPENDIX A: PhD Student Program Plans of Study:

### Typical Four-Year Plan of Study for Students Entering Fall, 2013

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<th>Year</th>
<th>Term</th>
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<th>Semester Credits</th>
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This plan reflects the minimum requirements of 49-51 credits assuming four years of doctoral study. Additional credits may be needed to complete all prerequisites or other requirements as determined by the academic advisor.
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This plan reflects the minimum requirements of 47 credits assuming three years of doctoral study. Additional credits may be needed to complete all prerequisites or other requirements as determined by the academic advisor.
# Typical Three-Year Plan of Study for Students Entering Fall, 2015

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<td>N9901 Research Residency</td>
<td>0</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Fall ’18</td>
<td>N9840 Dissertation Research</td>
<td>4</td>
<td>4</td>
<td>52-53</td>
</tr>
<tr>
<td></td>
<td></td>
<td>N9901 Research Residency</td>
<td>0</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Spring ’19</td>
<td>N9840 Dissertation Research</td>
<td>4</td>
<td>4</td>
<td>56-57</td>
</tr>
<tr>
<td></td>
<td></td>
<td>N9901 Research Residency</td>
<td>0</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>N9901 Research Residency</td>
<td>0</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Students continuing beyond the third year must register for 4 credits of N9840 Dissertation Research each semester (fall, spring, summer) until they have completed dissertation requirements.

Course offerings are subject to change.

One elective course must be taken in advanced statistics offered by another school prior to qualifying exam.

Full-time status is 9 credits. To ensure full time status enroll in N9901 Research Residency.
Once PhD students have passed the qualifying examination, they must complete a minimum of 4 credits of N9840 Dissertation Research each semester (fall, spring and summer). Students must register for this course until the dissertation is successfully defended. Students do not need to be registered during the semester they defend their dissertation; however, registration is required during the semester in which the student distributes their dissertation.

This plan reflects the minimum requirements of 47 credits assuming three years of doctoral study. Additional credits may be needed to complete all prerequisites or other requirements as determined by the academic advisor.
## Typical Three-Year Plan of Study for Students Entering Fall, 2017

<table>
<thead>
<tr>
<th>Year</th>
<th>Term</th>
<th>Course</th>
<th>Course Credits</th>
<th>Semester Credits</th>
<th>Cumulative Credits</th>
</tr>
</thead>
<tbody>
<tr>
<td>First</td>
<td>Fall '17</td>
<td>P6103 Intro Biostatistics</td>
<td>3</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>N9910 Translation &amp; Synthesis of Evidence for Optimal Outcomes</td>
<td>3</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>N9352 Qualitative Research Design/Mthd</td>
<td>3</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>N9356 Intellectual/Conceptual Foundations of Nursing Science</td>
<td>3</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Doctoral Seminar</td>
<td>0</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Intro. to Statistical Computing</td>
<td>0</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Spring '18</td>
<td>N9357 Measurement in Nursing Research</td>
<td>3</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>N9355 Quantitative Research &amp; Design</td>
<td>3</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>P8100 Applied Regression I</td>
<td>3</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>G4010 Responsible Conduct of Research</td>
<td>1</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>N9260 Interdisciplinary Research Models</td>
<td>2</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Doctoral Seminar</td>
<td>0</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Second</td>
<td>Summer '18</td>
<td>N9505 Research Practicum</td>
<td>2</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>N9353 Advanced Methods in Health Services Research &amp; Policy Analysis</td>
<td>3</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Elective #1 (may be taken summer or fall)</td>
<td>3</td>
<td></td>
<td>29-32</td>
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<td></td>
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</tr>
<tr>
<td></td>
<td></td>
<td>Grant writing workshop</td>
<td>0</td>
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<td></td>
<td>Fall '18</td>
<td>N9550 Research Synthesis through Visualization of Health Data</td>
<td>3</td>
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<td></td>
<td></td>
<td>Elective #1 or #2 (may be taken in summer)</td>
<td>3-6</td>
<td></td>
<td>6-9</td>
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<tr>
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<td></td>
<td>38</td>
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<td></td>
<td>Doctoral Seminar</td>
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<td></td>
<td></td>
<td>Preparation and submission of F31 proposal</td>
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<td>Jan. '19</td>
<td>Qualifying Exam: Written &amp; Oral Components</td>
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<tr>
<td></td>
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<td>Elective #3</td>
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<td>6-7</td>
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<td></td>
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<td></td>
<td></td>
</tr>
<tr>
<td>Third</td>
<td>Summer '19</td>
<td>N9840 Dissertation Research</td>
<td>4</td>
<td></td>
<td>48-49</td>
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<td>N9901 Research Residency</td>
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<td>Fall '19</td>
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<td>4</td>
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<td>52-53</td>
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<tr>
<td></td>
<td></td>
<td>N9901 Research Residency</td>
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<tr>
<td></td>
<td>Spring '20</td>
<td>N9840 Dissertation Research</td>
<td>4</td>
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<td>56-57</td>
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<tr>
<td></td>
<td></td>
<td>N9901 Research Residency</td>
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<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>N9901 Research Residency</td>
<td>0</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Students continuing beyond the third year must register for 4 credits of N9840 Dissertation Research each semester (fall, spring, summer) until they have completed dissertation requirements.

Course offerings are subject to change.

One elective course must be taken in advanced statistics offered by another school prior to qualifying exam.

Full-time status is 9 credits. To ensure full time status enroll in N9901 Research Residency.
Once PhD students have passed the qualifying examination, they must complete a minimum of 4 credits of N9840 Dissertation Research each semester (fall, spring and summer). Students must register for this course until the dissertation is successfully defended. Students do not need to be registered during the semester they defend their dissertation; however, registration is required during the semester in which the student distributes their dissertation.

This plan reflects the School of Nursing PhD minimum requirements of 48 credits assuming three years of doctoral study. Additional credits may be needed to complete all prerequisites or other requirements as determined by the academic advisor.
APPENDIX B: CONTRACT FOR RESEARCH PRACTICUM

Nursing PhD Program

CONTRACT FOR RESEARCH PRACTICUM

Student Name: ____________________________ Semester: ______
Faculty Member: ____________________________

Site and Mentor for Experience: (Attach resume if not a Columbia University faculty member)

Brief Description of Planned Experience:

________________________________________________________________________
________________________________________________________________________
________________________________________________________________________
________________________________________________________________________

Learning Objectives:

________________________________________________________________________
________________________________________________________________________
________________________________________________________________________
________________________________________________________________________

Expectations/Requirements (Note: 1 credit = 4 hours/week)

Student Signature: ____________________________ Date: ______
Faculty Signature: ____________________________ Date: ______
APPENDIX C: APPLICATION FOR QUALIFYING EXAMINATION FOR THE PhD DEGREE

APPLICATION FOR QUALIFYING EXAMINATION FOR THE PhD DEGREE

(Please type or print legibly)

I, ___________________________, have completed the following requisite courses with a cumulative GPA of at least 3.0 (with no incomplete grades):

<table>
<thead>
<tr>
<th>Course Number</th>
<th>Title</th>
<th>Completed (Semester/Year)</th>
<th>Currently Enrolled</th>
</tr>
</thead>
<tbody>
<tr>
<td>P6103</td>
<td>Intro Biostatistics</td>
<td></td>
<td></td>
</tr>
<tr>
<td>N9910</td>
<td>Translation/Synthesis Evidence/Optimal Outcomes</td>
<td></td>
<td></td>
</tr>
<tr>
<td>N9352</td>
<td>Qualitative Research Design and Methods</td>
<td></td>
<td></td>
</tr>
<tr>
<td>N9356</td>
<td>Intellectual and Conceptual Foundations of Nursing Science or equivalent course (N9351 Concept Development &amp; Measurement of Clinical Phenomena)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>N9357</td>
<td>Measurement in Nursing Research</td>
<td></td>
<td></td>
</tr>
<tr>
<td>N9355</td>
<td>Quantitative Research and Design</td>
<td></td>
<td></td>
</tr>
<tr>
<td>P8100</td>
<td>Applied Regression</td>
<td></td>
<td></td>
</tr>
<tr>
<td>G4010</td>
<td>Responsible Conduct of Research</td>
<td></td>
<td></td>
</tr>
<tr>
<td>N9260</td>
<td>Building Interdisciplinary Research Models</td>
<td></td>
<td></td>
</tr>
<tr>
<td>N9505</td>
<td>Research Practicum</td>
<td></td>
<td></td>
</tr>
<tr>
<td>N9353</td>
<td>Advanced Methods in Health Services Research and Policy Analysis</td>
<td></td>
<td></td>
</tr>
<tr>
<td>N9550</td>
<td>Research Synthesis through Visualization of Health Data</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Electives (8-9 credits):</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Elective #1</td>
<td>(3 credits)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Elective #2</td>
<td>(3 credits)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Elective #3</td>
<td>(2-3 credits)</td>
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<td></td>
</tr>
</tbody>
</table>

I hereby apply to take the qualifying examination in (month) _____________, 20_____.

Student Signature ___________________________________________ Date __________

Approved: (signatures)

____________________________________________________________________________________

Advisor

_________________________________________ Date: __________

Director, PhD Program

_________________________________________ Date: __________
APPENDIX D: APPLICATION FOR THE MASTER OF PHILOSOPHY

APPENDIX E: REPORT OF THE DISSERTATION PROPOSAL COMMITTEE
http://gsas.columbia.edu/sites/default/files/GSAS-proposal.pdf

<table>
<thead>
<tr>
<th>STUDENT NAME</th>
<th>STUDENT UNI</th>
<th>M/F</th>
</tr>
</thead>
<tbody>
<tr>
<td>DOCTORAL PROGRAM</td>
<td>ICLS</td>
<td>STUDENT PID/ ID NUMBER</td>
</tr>
<tr>
<td>AREA OF SPECIALIZATION</td>
<td></td>
<td></td>
</tr>
<tr>
<td>DISSERTATION SPONSOR</td>
<td>CO-Sponsor (if applicable)</td>
<td></td>
</tr>
<tr>
<td>TITLE OF PROPOSED DISSERTATION</td>
<td></td>
<td></td>
</tr>
<tr>
<td>DATE OF EVALUATION</td>
<td></td>
<td>CHECK HERE IF THE PROPOSAL IS A REQUIREMENT FOR THE M.APHIL</td>
</tr>
</tbody>
</table>

List the members of the Dissertation Proposal Committee:

<table>
<thead>
<tr>
<th>COMMITTEE MEMBER</th>
<th>EMAIL</th>
<th>PHONE</th>
<th>UNI</th>
</tr>
</thead>
<tbody>
<tr>
<td>DEPARTMENT</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>COMMITTEE MEMBER</td>
<td>EMAIL</td>
<td>PHONE</td>
<td>UNI</td>
</tr>
<tr>
<td>DEPARTMENT</td>
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<tr>
<td>COMMITTEE MEMBER</td>
<td>EMAIL</td>
<td>PHONE</td>
<td>UNI</td>
</tr>
<tr>
<td>DEPARTMENT</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

By signing in the “YES” column below, the members of the Dissertation Proposal Committee approve the proposal indicated above, agreeing that it meets all program requirements and is acceptable in both its content and its timetable for completion.

The members voting “YES” thus recommend that the candidate proceed according to the approved proposal and under the supervision of the Dissertation Sponsor named above.

<table>
<thead>
<tr>
<th>SIGNATURES OF COMMITTEE MEMBERS VOTING “YES”</th>
<th>SIGNATURES OF COMMITTEE MEMBERS VOTING “NO”</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

For GSAS use
APPROVED ________________________________
DATE ________________________________
APPENDIX E1: DISSERTATION PROPOSAL OUTLINE—QUANTITATIVE

CHAPTER I: INTRODUCTION/PROBLEM
- Introduction
- Broad area of concern
- Lays the groundwork for the questions posed
- Include some of the pertinent literature verifying this area of concern
- Problem statement
- Clearly stated in ½ page
- Purpose/Aim may include:
  o Specific questions to be answered
  o Hypotheses
- Operational definitions of central concepts
- Assumptions
- Theoretical framework or rationale
- Concepts/variables: definitions
- Significance/Rationale
- Importance
- Projected outcomes
- Contributions to future knowledge
- Significance/Rationale for study
- Importance to field
- Contributions to future knowledge

CHAPTER II: REVIEW OF THE LITERATURE
- Succinct, relevant literature review
- Focus on relationship between the research questions(s) and the literature reviewed
- This is a summary of the state of the knowledge concerning the stated question(s)/intent

CHAPTER III: METHODOLOGY/RESEARCH DESIGN
Should follow from Chapter I (Problem-question(s)-hypothesis(es)) and Chapter II (your assessment of the state of knowledge as documented in the literature review).

- Design Strategy
  - Examples are: Experimental, Quasi Experimental, other
  - Rationale as to why this design
  - Expected outcomes from this design/justification for it
  - Background of method if necessary

- If part of a larger project, specify:
  - Relationship to the project
  - How the student will control the dissertation research part of the project
  - Whether permission to utilize data or source has been obtained or is in the process of being obtained
  - Status of the project in regard to human subject review
  - Anticipated problems and how to overcome each
  - The extent to which the design, methodology, collection of data and interpretation findings
will be the student’s responsibility

- Obligations to the larger project and restriction to publications, if any
- Agreements as to manuscripts or material review

- Report on Pilot work, completed or planned

- Methodology as appropriate to the design

  **Population/Sample/Participants**
  - Description of subjects
  - Recruitment source of subjects
  - Method of recruitment
  - Subject inclusion/exclusion criteria
  - Sample size estimation (power analysis)

  **Description of design and treatments if appropriate**

- Data Collection
  - Concepts/variables with their operational definition and plan for measurement
  - Specify sources such as interviews, histories, instruments, documents, etc.
    - Describe each used:
    - Type, # of items
    - Task for respondents
    - Administration and scoring procedures
    - Adoption, adaptation or invention
      - Validity
      - Reliability
  - Description of data collection should be in sufficient detail to enable any other researcher to carry it out. In quantitative studies most of this can be done prior to the collection. In qualitative, should be in sufficient detail to demonstrate a well thought out plan although actual approach may change during data collection.

- Data Analysis
  - Strategies that will be used to analyze the data to answer the Questions(s)/Hypotheses/ Purposes posed, such as statistical, historical, philosophical, comparative analysis, etc.
  - Analytic plan for each aim should be articulated in detail

- Protection of human subjects
  - Consents
  - IRB status
  - Confidentiality issues

- Limitations of the study
- Obstacles
- Difficulties expected and ways to overcome them

- If course work and other experience have not fully prepared the student for dissertation, what is planned?
  For example, if the dissertation is using a highly prescribed method, has the student taken a course in the method and have an expert in the method on the dissertation committee?
• Time Table
  ▪ Realistic
  ▪ Feasible

Instruments, instructions, consent forms, letters of invitation to participate, permission to use copyright material, letters of support, and other communications are not included.
APPENDIX E2: DISSERTATION PROPOSAL OUTLINE—QUALITATIVE

CHAPTER I: INTRODUCTION/PROBLEM
- Introduction
- Broad area of concern
- Lays the groundwork for the questions posed
- Include some of the pertinent literature verifying this area of concern
- Problem statement
- Purpose/Aim may include:
  - Specific questions to be answered
  - Theoretical framework or rationale if applicable
  - Concepts/variables: description or general definitions if applicable
- Qualitative research method and brief justification for the use of Qualitative method
- Significance/Rationale for study
- Importance to field
- Contributions to future knowledge

CHAPTER II: REVIEW OF THE LITERATURE
- Succinct, relevant literature review
- Focus on relationship between the research questions(s) and the literature reviewed
- This is a summary of the state of the knowledge concerning the stated question(s)/intent

CHAPTER III: METHODOLOGY/RESEARCH DESIGN
Should follow from previous chapters especially Chapter I (Problem-question(s) Qualitative method and justification as well as Chapter II (your assessment of the state of knowledge as documented in the literature review).

1. Design and General Strategy
   - Clear statement of design to be used e.g., Qualitative Descriptive, GT, Ethnography, Phenomenology, Interpretive Descriptive etc.
   - Definition and description of general Qualitative Method chosen and rationale for this approach. How method fits the research purpose and question
   - Background on method – historical context, theoretical underpinnings, general applications in research- types of questions generally answered/studied conducted using this method: special considerations/requirements in the method for general sampling, data collection, analysis etc.
   - General discussion of application in this study
   - Expected outcomes as a result of using this design

2. If part of a larger project, specify:
   - Relationship to the project
   - How the student will control the dissertation research part of the project
   - Whether permission to utilize data or source has been obtained or is in the process of being obtained
   - Status of the project in regard to human subject review
   - Anticipated problems and how to overcome each
   - The extent to which the design, methodology, collection of data and interpretation findings will be the student’s responsibility
   - Obligations to the larger project and restriction to publications, if any
   - Agreements as to manuscripts or material review
3. APPLIED METHOD: (specific to this study)
   - Report on Pilot/Fieldwork, completed or planned, should be included
   - Questions to be answered/Aims
   - **Sampling** strategy
     - Description of sample (with sample size projected). Discuss in relation to tradition of Qualitative method or school
     - Recruitment procedures with rationale- specific and detailed outline of procedures and materials to be used, including any incentives
   - **Data collection** method- interview, focus group, observation, archival material, transcriptions etc. Discuss in view of chosen qualitative method.
     - Use of interview guide- development and application in study
     - Use of any other tools in data collection must be fully discussed- tapes, online methods, phone, etc.
   - **Method of analysis** including coding specifications
     - General description of analytic approach- e.g., emergent or directed content analysis, interpretive descriptive method, axial coding (GT); taxonomic coding (ethnography) etc. If method has well known literature around it – must cite this and discuss merits for this study- examples include but are not limited to: Spradley in ethnography; Corbin & Strauss in GT; Thorne in Interpretive Description; Colaizzi in line by line content analysis; Georgi in general psychological phenomenological interpretation etc.
     - Specific coding procedures and structure- should be isomorphic with those generally employed in chosen qualitative method (present a clear stepwise procedure for this)
     - Use of software- what kind
     - Coders- who, number, background
     - Reliability considerations in coding (method of establishing- e.g., % agreement, kappa)
     - Final Coding configuration – themes; core categories thru theory (GT), exhaustive description (Phenomenology) etc.
     - Description of data collection should be in sufficient detail to enable any other researcher to carry it out. Should be in sufficient detail to demonstrate a well thought out plan although actual approach may change during data collection
     - Data Storage- how secured, who has access
   - Adherence to Qualitative Principles of Rigor- Full discussion of how these 4 principles will be considered in the conduct of the research (Credibility, Confirmability, Dependability, Transferability) Discussion of the Audit trail is included here.
   - **Protection of human subjects**
     - Consents
     - IRB status
     - Confidentiality issues

4. Limitations of the study
   - Obstacles
   - Difficulties expected and ways to overcome them

5. If course work and other experience have not fully prepared the student for dissertation, what is planned? For example, if the dissertation is using a highly prescribed method such as ethnography and Spradley’s taxonomy or Grounded theory with the requisite axial coding- has the student taken a course in the method and have an expert in the method on the dissertation committee or be working with an expert?
6. Timetable
   - Realistic
   - Feasible

Instruments, instructions, consent forms, letters of invitation to participate, permission to use copyright material, letters of support, and other communications are not included.
APPENDIX F1: DISSERTATION OUTLINE—A GUIDE FOR TRADITIONAL DISSERTATION FORMAT

Please refer to the following GSAS webpages:
http://gsas.columbia.edu/content/formatting-guidelines

- Preliminary Pages
- Abstract
- Acknowledgments
- Table of Contents
- List of Tables
- List of Figures

- Chapter I: Introduction/Problem or Purpose Statement
  - Same as proposal. Sometimes add an outline of remaining chapters.

- Chapter II: Review of the Literature/Setting the Context
  - Detailed discussion of the literature reviewed for the dissertation
  - Summarizes topical areas as appropriate
  - Discusses theoretical conceptual framework as appropriate
  - Summary of the state of the knowledge surrounding the specific problem/topic

- Chapter III: Methodology
  - Research design description
  - Description of setting and sample/participants
  - Procedure for data collection and analysis
  - Human subjects protection

- Chapter IV: Research Findings/Results*

- Chapter V: Summary, Discussion, Conclusions, and Recommendations
  - Summary of Study
  - Discussion of results
  - Implications
  - Limitations
  - Recommendations for future research and practice/policy

- References
  - Those used in text

- Appendix
- Instruments
- Pertinent communications
- Consent forms
- Supporting documentation and tables (e.g. pilot data)

*Reports of Qualitative studies often combine results and discussion in one or more chapters that are written as a logical narrative.
APPENDIX F2: DISSERTATION OUTLINE—A GUIDE TO PUBLICATION FORMAT OPTION FOR DISSERTATION

Students have the option of a second format which comprises a minimum of 3 publishable papers. This format for a dissertation, with the supervision of their faculty mentor, will prepare students to write manuscripts that are focused and suitable to be published in scientific journals. The guidelines for the publication format dissertation option are as follows:

Format:

1. An introductory chapter that provides a well-developed argument for the specific aims of the dissertation and explains why the dissertation is a significant contribution to the field of nursing. It should build on theories, methods and arguments in the field, as well as the biology, environmental, psychological and socio-historical contexts of the disease or condition and any other material necessary to build a logical and persuasive argument.

2. A systematic or integrative literature review and justification of the topic. It must meet the methodological standards of a systematic or integrative. Guidelines pertinent to systematic and integrative reviews such as Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) should be used (http://www.prisma-statement.org/).

3. A minimum of 2 additional manuscripts will be determined at the dissertation proposal defense. Each manuscript will be a stand-alone chapter in the final dissertation. If the manuscript is published prior to the dissertation defense, it will be included as an Appendix.

4. A final chapter that integrates and discusses the findings of the papers. It should include discussions of the conclusions of the research in the context of existing evidence and implications for policy, practice, and research.

5. An appendix including all supplementary materials (e.g., published papers, IRB approval, instruments) that may be excluded from manuscripts and tables. Manuscripts prepared for submission, in press, or published should be included in the Appendix if they differ (for example, in length, scope, or format) from the chapters.

Supervision and student enrollment:

The work must have been done under the supervision of a Columbia University School of Nursing (CUSON) faculty member, and the publications must have been submitted while the student was enrolled as a doctoral student at CUSON.

Authorship:

The doctoral student must have had the primary role in the design and execution of the dissertation studies, in the analysis, in the interpretation of the data, and in the writing of the papers. Papers submitted in publishable format may have multiple authors with the candidate as first author and the sponsor or others who have contributed as co-authors, in accordance with The Recommendations for the Conduct, Reporting, Editing, and Publication of Scholarly work in Medical Journals (International Committee of Medical Journal Editors). (http://www.icmje.org/recommendations/).
APPENDICES

APPENDIX G: PROQUEST UMI, ACADEMIC COMMONS & COPYRIGHTING THE THESIS

After you have successfully defended your dissertation, your last remaining academic requirement is to complete your dissertation deposit.

Your examining committee may have directed you to make minor revisions to your dissertation. Once you have made these revisions, you are ready to begin the deposit process.

You will need to obtain from the PhD Office a signed Approval Card that certifies you have made all required revisions and that the dissertation has been approved for deposit by your sponsor and by your doctoral program. This card tells GSAS that any revisions given during the defense have been completed, and that the dissertation is ready to be deposited. The card, which is signed by your sponsor and the Program Director, must be submitted to the Dissertation Office before you can deposit your dissertation.

Please visit gsas.columbia.edu/content/deposit-gateway for specific details about depositing your dissertation. Be sure to carefully review all the information (including additional FAQ pages) before beginning the deposit process and uploading your thesis.

If your manuscripts have not yet been accepted for publication and are under review, you may consider embargoing your dissertation. Discuss this option with your advisor. Please visit this site for information about the process of embargoing your dissertation.

For information about copyrighting articles before including them in final dissertations, refer to “Considerations regarding the copyright of accepted/publishe articles before they go into final dissertations.” This can be found in the CourseWorks site under “Resources\Dissertation Info.”
APPENDIX H: APPLICATION FOR THE DISSERTATION DEFENSE

APPENDIX I: CONFIRMATION OF TOPICS FOR PAPERS: QUALIFYING EXAMINATION FOR THE PhD DEGREE

CONFIRMATION OF TOPICS FOR PAPERS: QUALIFYING EXAMINATION FOR THE PhD DEGREE
(Please type or print legibly)

I, __ Student Name __, have discussed with my advisor the requirements for the written and oral components of the Qualifying Examination for the PhD Degree in Nursing and I have committed to the following two papers as tentatively titled and briefly described below and as approved by the Qualifying Examination Committee.

**Paper #1:**

Tentative Title:

___ Tentative Title #1 ____________________

Brief Description of Paper:

___ Brief Description of Paper #1 ________________

**Paper #2:**

Tentative Title:

___ Tentative Title #2 ____________________

Brief Description of Paper:

___ Brief Description of Paper #2 ________________

Student Signature: ____________________________________________________________

Date: _____________________________________

Advisor Name: _________________________________________________________________

Advisor Signature: _____________________________________________________________

Date: _____________________________________

Area below to be filled out by Qualifying Examination Committee (QEC)

Papers are approved on behalf of QEC:

Name of QEC Chair: _____________________________________________________________

QEC Chair Signature: ___________________________________________________________

Date: _____________________________________

REVISED AUGUST 2017
APPENDIX J: INTENT TO SCHEDULE DISSERTATION PROPOSAL DEFENSE

I (name of student), have discussed with my advisor the requirements for Dissertation Proposal Defense and I have:

- Identified potential dissertation committee members;
- Met with (or scheduled a meeting with) each member of the full committee at least once prior to the formal dissertation proposal defense;

The Dissertation Proposal Defense has been scheduled for (date/time): Click here to enter text.

The plan for the student’s dissertation will be (choose one):
- Traditional dissertation format
- Manuscript (Publication Format)

If Manuscript (Publication Format) option, please list the three (3) papers:

Manuscript 1: Click here to enter text.
Targeted Journal 1: Click here to enter text.
Manuscript 2: Click here to enter text.
Targeted Journal 2: Click here to enter text.
Manuscript 3: Click here to enter text.
Targeted Journal 3: Click here to enter text.

As a Columbia University School of Nursing PhD student, I assume responsibility as first author for the manuscripts listed above (or manuscripts stemming from a traditional dissertation format). For a manuscript not published at the time of dissertation defense, it is my responsibility to remain diligent regarding publication in a journal mutually determined with my sponsor.

<table>
<thead>
<tr>
<th>Name</th>
<th>Department</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dissertation Sponsor:</td>
<td>1. Click here to enter text. Click here to enter text.</td>
</tr>
<tr>
<td>Dissertation Committee Member</td>
<td>2. Click here to enter text. Click here to enter text.</td>
</tr>
<tr>
<td>Dissertation Committee Member</td>
<td>3. Click here to enter text. Click here to enter text.</td>
</tr>
</tbody>
</table>

Student Signature ____________________________
Date: Click here to enter text.

Sponsor/Advisor Signature ____________________________
Date: Click here to enter text.

Director’s Approval: ____________________________
Date: ____________________________